THREADED CENTER LINE CAGE WITH WINGED END CAP

Background of the Invention

1	The present application is directed to a center line
2	threaded cage with a winged end cap for implantation between
3	a pair of adjacent vertebrae in order to provide spacing,
4	orientation, and support to the vertebrae and to promote
5	fusion between the vertebrae.
6	In the human spine, the pad or disc between vertebrae
7	is sometimes damaged or deteriorates due to age, disease,
8	injury, or congenital defect. The vertebrae may also become
9	compressed or otherwise damaged. Because of this, surgery
10	is often utilized to place spacers or interbody devices
11	between the vertebrae which provide proper spacing of the
12	vertebrae and which also promote fusion between the
13	vertebrae. When a device of this type is utilized for
14	purposes of promoting fusion, it is often referred to as a
15	fusion cage or an intervertebral fusion device. When
16	utilized to promote fusion, the interbody devices often are
17	windowed and packed with bone fusion material to promote
18	growth of the bone between the vertebrae. Sometimes such

- 1 material is packed between a pair of devices that are placed
- 2 in close proximity to one another between the vertebrae to
- 3 promote growth of bone and, therefore, fusion between the
- 4 vertebrae.
- In the past, interbody devices have typically been
- 6 either generally rectangular or cylindrical in shape. The
- 7 cylindrical devices have an advantage that they can be
- 8 threadably received more or less directly between and into
- 9 the adjacent vertebrae. For this purpose, the vertebrae are
- 10 typically first spaced apart, and then a tool is utilized to
- 11 create a partial bore in each vertebra which with spacing of
- 12 the vertebrae allows the interbody device to be received
- 13 between the vertebrae. Because of the natural space between
- 14 the bones, the interbody device usually engages the bones
- only along an upper surface and a lower surface thereof.
- 16 When the cage is of a cylindrical threaded type, the upper
- 17 and lower surfaces are curved and essentially designed to
- 18 engage the portion of the vertebrae where bone is unremoved
- 19 during boring to create an opening for the device.
- When interbody devices of this type are used, it is
- 21 desirable that the device engage as much surface of bone as
- 22 possible to provide strength and to reduce the likelihood of
- 23 subsidence of the device into the bone, resulting from

- 1 contact pressure of the interbody spacer on an
- 2 intervertebral surface of a vertebra, since part of the bone
- 3 is spongy by nature, especially near the center. The
- 4 remainder of the structure mainly functions to support the
- 5 two engagement surfaces, unless the device is also used as a
- 6 cage within which to pack bone fusion material. Because it
- 7 is also desirable in such structures to maintain weight and
- 8 volume as low as possible, in order to make the device more
- 9 compatible with the body, it is also desirable to make the
- 10 entire device as small and lightweight as possible, while
- 11 maintaining sufficient strength to prevent catastrophic
- 12 failure.
- 13 As noted above, the mutually facing intervertebral
- 14 surfaces of an adjacent pair of vertebrae have different
- 15 characteristics over their areas. Central regions of the
- 16 surfaces are somewhat spongy, such that there is a tendency
- 17 of the interbody spacers to subside or sink into the
- 18 vertebrae in the central regions. In contrast, outer or
- 19 edge regions of the surfaces are more solid and generally
- 20 harder. When a fusion cage is implanted, particularly a
- 21 threaded cylindrical cage, it has previously been the
- 22 practice to implant two such cages in side-by-side relation
- 23 except where a wide flat device is used to essentially

- 1 replace the disc. This done for lateral stability of the
- vertebrae, so that the vertebrae do not pivot laterally
- 3 relative to the interbody implant. Two such cylindrical
- 4 cages have also been used to increase the area of bearing
- 5 surfaces engaging the vertebral surfaces to thereby minimize
- 6 subsidence of the cages into the vertebrae. Implanting such
- 7 a pair of cylindrical cages requires that two bores be cut
- 8 into the vertebral surfaces to receive the two cages.

Summary of the Invention

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- The present invention provides an arrangement for
- 13 effective use of a single interbody spacer member by center
- 14 line positioning of a threaded interbody spacer or fusion
- cage having a winged end cap for placement between a pair of
- 16 spaced apart, but adjacent vertebrae. In general, the
- 17 spacer member engages inner regions of the adjacent
- 18 vertebrae while the end cap engages the outer regions of the
- 19 vertebrae.
- The interbody spacer is a threaded spacer, including
- 21 superior and inferior surfaces which have helical threads
- 22 cut into the surfaces in such a manner that the outer
- 23 surfaces of the threads form a partial cylindrical surface.

- 1 Lateral or side surfaces of the spacer member are
- 2 cylindrically concave to increase the intervertebral volume
- 3 available to receive spinal fusion promoting material to
- 4 fuse the adjacent vertebrae. A partial cylindrical spacer
- 5 receiving bore is cut into the mutually facing surfaces of
- 6 the spaced apart vertebrae along a median plane of the
- 7 subject spine, through the adjacent vertebra edge regions.
- 8 The spacer member is threaded into the bore, using an
- 9 implant tool, to a position in which the cylindrical
- 10 surfaces engage central regions of the upper and lower
- 11 vertebrae.
- 12 The end cap has superior and inferior surfaces
- 13 preferably shaped to conform to the natural shape of the
- 14 edge regions of the adjacent vertebrae, as modified by the
- 15 spacer receiving cylindrical bore formed into the surfaces
- of the vertebrae. The end cap has connection structure for
- 17 securing the end cap to the spacer member. Preferably, such
- 18 connection structure includes an opposed pair of posteriorly
- 19 extending, resilient pawls which are adapted to snap into
- 20 recesses formed into the side surfaces of the spacer member.
- 21 The end cap preferably includes laterally extending wings or
- 22 extensions which are shaped to engage segments of the edge
- 23 regions of the vertebrae at positions spaced laterally of

- 1 the median plane. The wings wedge between the vertebrae to
- 2 prevent the vertebrae from tendencies to pivot laterally
- 3 about the spacer member positioned along the median plane.
- 4 The wings in conjunction with the midline spacer cooperate
- 5 to prevent side to side or lateral rotation about the
- 6 implant and thereby stabilize the vertebrae on either side
- 7 of the spacer relative to each other.
- A central cavity may be formed through the interbody
- 9 spacer from one lateral surface to the other. The central
- 10 cavity is intended to receive additional bone fusion
- 11 material to promote fusion between the adjacent vertebrae or
- 12 opposite sides of the spacer. Alternatively, other openings
- 13 and apertures can be formed in the spacer. The end surfaces
- 14 may be provided with threaded bores to receive an
- installation tool employed to implant the interbody spacer
- 16 between an adjacent pair of vertebrae. The end cap may also
- 17 be provided with openings, where they are structurally
- 18 appropriate, to receive the bone fusion promoting material.
- 19 The threads, which extend along and form major parts of
- 20 the superior and inferior surfaces of the spacer member,
- 21 have inner roots and outer crests. Outer surfaces of the
- 22 crests are substantially cylindrical segments, bounded by
- 23 intersections with the side surfaces of the spacer member.

- 1 That is, outer radii of the crests are preferably
- 2 substantially constant along the axial length thereof.
- 3 However, the radii of the roots of the threads generally
- 4 diminish in progressing in a posterior direction to near
- 5 approximately a midpoint and thereafter remain substantially
- 6 constant to the posterior of the spacer member. In
- 7 particular, the roots preferably diminish conically from
- 8 front to back to a middle region or somewhat posterior of
- 9 the exact middle. From that point to the posterior end, the
- 10 radii of the roots are constant or cylindrical, resulting in
- 11 an overall funnel profile shape of the thread roots and the
- 12 surface of the spacer member formed by the thread roots.
- 13 The purpose of the reduction in root radius near the front
- 14 or anterior of the spacer member is to provide greater
- 15 anterior support and thereby create or maintain a desired
- lordotic angle or degree of lordosis of the vertebrae.

18 Objects and Advantages of the Invention

- Therefore, the objects of the present invention
- 21 include: providing an improved arrangement for placing an
- 22 implant including interbody spacer structure between an
- 23 adjacent pair of vertebrae to maintain a desired spacing

- 1 therebetween; providing such an interbody spacer structure
- 2 formed by a single, center line mounted spacer member, that
- 3 is, positioned in substantial alignment with a medial plane
- 4 of the body through the spine, and an end cap member
- 5 connected to and cooperating with the spacer member;
- 6 providing such a centerline spacer structure in which the
- 7 spacer member has substantially cylindrical surfaces and is
- 8 threaded for threading into a bore formed into and between
- 9 mutually facing surfaces of an adjacent pair of vertebrae;
- 10 providing such an arrangement in which crests of the spacer
- 11 threads are substantially equal in radius along the axial
- 12 length of the spacer while roots of the threads diminish in
- 13 radius from near an anterior end to near an axial midpoint
- 14 to provide greater support against subsidence on the
- 15 anterior side of the spacer to help support adjacent
- 16 vertebrae in a desired angular or lordotic relationship;
- 17 providing such a structure in which the radius of the root
- 18 of the threads diminishes at a constant rate from near an
- 19 anterior end toward the posterior end until near the axial
- 20 midline after which the radius of the thread root becomes
- 21 generally constant to provide a substantially funnel shaped
- 22 profile or funnel shape to the interior body or shape of the
- 23 spacer formed by the thread root; providing such a structure

in which the spacer member includes cylindrically concave 1 2 lateral or side surfaces that join the upper and lower 3 abutment surfaces on opposite lateral sides of the spacer 4 member; providing such an arrangement wherein the shape and 5 design of the interbody spacer member provides strength while reducing volume and weight; providing such a structure 6 7 in which the spacer member can be either solid or partly 8 hollow and which is provided with openings in structurally appropriate places in order to allow packing with bone chips 9 10 or other bone fusion promoting materials; providing such a 11 structure having a spacer with a thread that has a crest of 12 generally constant radius and a root that has a radius that 13 reduces evenly from near an anterior end to near an axial 14 center of the spacer and thereafter remain generally constant so that the root forms a partial funnel shaped 15 16 surface; providing such a structure which minimizes surgical 17 alteration of the vertebral bones between which a threaded 18 cylindrical spacer is implanted; providing such a structure 19 which requires only a single interbody spacer member 20 positioned at a medial plane or centerline between the 21 adjacent vertebrae; providing such an arrangement including 22 a laterally extending stabilizing structure engaged with the 23 spacer member and the adjacent vertebrae to prevent pivoting

- of the vertebrae laterally about the single interbody
- 2 spacer; providing such an arrangement including an end cap
- 3 which is secured to the spacer member and which engages edge
- 4 regions of the mutually facing surfaces of the adjacent
- 5 vertebrae; providing such an end cap including wings or
- 6 extensions which extend laterally of the spacer member to
- 7 engage a substantial portion of the edge regions of the
- 8 adjacent vertebrae; providing such an end cap which is
- 9 secured to the spacer member by connectors, especially a
- 10 pair of opposed resilient pawls which extend posteriorly
- 11 from the end cap to engage recesses formed on the spacer
- 12 member; providing such an end cap including openings formed
- 13 therethrough to receive spinal fusion promoting material;
- 14 and providing such a threaded centerline interbody spacer
- 15 structure with a winged end cap which is economical to
- 16 manufacture, which is relatively simple to implant, which is
- 17 efficient in operation, and which is particularly well
- 18 suited for its intended usage.
- 19 Other objects and advantages of this invention will
- 20 become apparent from the following description taken in
- 21 conjunction with the accompanying drawings wherein are set
- 22 forth, by way of illustration and example, certain
- 23 embodiments of this invention.

- 1 The drawings constitute a part of this specification
- 2 and include exemplary embodiments of the present invention
- 3 and illustrate various objects and features thereof.

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Brief Description of the Drawings

- 7 Fig. 1 is an enlarged exploded perspective view of a
- 8 centerline interbody spacer member and a winged cap
- 9 cooperating therewith which embody the present invention.
- 10 Fig. 2 is an enlarged longitudinal cross sectional view
- of the interbody spacer member and illustrates a diminishing
- 12 radius of the thread root of the member from a front end to
- 13 a middle thereof.
- 14 Fig. 3 is a transverse cross sectional view of the
- interbody spacer member, taken along line 3-3 of Fig. 2, and
- 16 illustrating a root shape and size of the member near a rear
- 17 end of the member.
- Fig. 4 is a transverse cross sectional view of the
- 19 interbody spacer member, taken along line 4-4 of Fig. 2, and
- 20 illustrating a root shape and size of the member near a
- 21 front end of the member.
- Fig. 5 is a fragmentary diagrammatic front elevational
- 23 view of a human spine, with a pair of adjacent vertebrae

- 1 separated by a spinal disc prior to installation of the
- 2 present invention between the vertebrae.
- Fig. 6 is a view similar to Fig. 5 and illustrates the
- 4 spine subsequent to a laminectomy procedure to remove the
- 5 disc, with intervertebral separating tools positioned
- 6 between the vertebrae.
- 7 Fig. 7 is a view similar to Fig. 5 and illustrates the
- 8 vertebrae separated by the separating tools and
- 9 cylindrically bored to produce radiused upper and lower
- 10 channels in the respective vertebrae to receive the
- interbody spacer of the present invention.
- Fig. 8 is a diagrammatic plan view, taken on line 8-8
- of Fig. 7, at a reduced scale and illustrates a vertebra
- 14 after boring and with the separating tools in place.
- Fig. 9 is a somewhat enlarged, fragmentary exploded
- 16 perspective view illustrating an interbody spacer member and
- 17 a spacer implanting tool assembly for use in implanting the
- 18 spacer member between an adjacent pair of vertebrae.
- 19 Fig. 10 is a fragmentary plan view, at a reduced scale,
- 20 of the interbody spacer member positioned between a pair of
- 21 adjacent vertebrae, with the spacer implanting tool still
- 22 engaged with the spacer and the vertebrae shown in phantom
- 23 lines.

- 1 Fig. 11 is a fragmentary, side elevational view of the
- 2 interbody spacer member positioned between the vertebrae
- 3 shown in cross section, with the spacer implanting tool
- 4 still engaged with the spacer.
- Fig. 12 is a view similar to Fig. 10, at a somewhat
- 6 enlarged scale, and illustrates the beginning of retraction
- 7 of the spacer implanting tool from the spacer member with
- 8 the vertebrae shown in phantom.
- 9 Fig. 13 is a fragmentary top plan view illustrating an
- 10 interbody spacer member in place between a pair of vertebrae
- 11 that are shown in phantom and an end cap implanting tool
- 12 engaged with a winged end cap of the present invention just
- 13 prior to installation of the end cap on the spacer member.
- 14 Fig. 14 is a view similar to Fig. 13 and illustrates
- 15 the end cap just prior to complete engagement with the
- 16 interbody spacer member.
- 17 Fig. 15 is a further fragmentary view similar to Fig.
- 18 13 and illustrates the end cap fully secured to the
- 19 interbody spacer member.
- Fig. 16 is an enlarged, fragmentary front elevational
- 21 view of the winged end cap fully implanted and engaging edge
- 22 regions of the adjacent pair of vertebrae.

Fig. 17 is a fragmentary enlarged longitudinal cross
sectional view of the interbody spacer member and end cap
engaged by an end cap removal tool.

Detailed Description of the Invention 1 2 3 As required, detailed embodiments of the present invention are disclosed herein; however, it is to be 5 understood that the disclosed embodiments are merely exemplary of the invention, which may be embodied in various 6 Therefore, specific structural and functional 7 details disclosed herein are not to be interpreted as 8 limiting, but merely as a basis for the claims and as a 9 10 representative basis for teaching one skilled in the art to variously employ the present invention in virtually any 11 12 appropriately detailed structure. 13 Referring to the drawings in more detail, the reference 14 numeral 1 generally designates a threaded center line cage 15 structure or assembly which embodies the present invention. The assembly 1 generally includes an interbody spacer member 16 17 2 and an end cap member 3 that is operably secured to the 18 spacer member 2. The spacer member 2 and end cap 3 19 cooperate to maintain a beneficial spacing and mutual 20 orientation between a pair of adjacent vertebrae 6 and 7 21 (Fig. 11) and to resist side to side rotation of each 22 vertebrae 6 and 7 relative to the adjacent vertebrae 6 or 7.

The assembly 1 provides a stable relationship between the

- 1 vertebrae 6 and 7 with only a single spacer screw in type
- 2 member 2 therebetween. By using a single spacer member 2
- 3 instead of a pair of laterally positioned spacers, an
- 4 increased volume is provided between the vertebrae 6 and 7
- 5 to receive material which promotes bone fusion or
- 6 osteosynthesis to thereby facilitate fusing together of the
- 7 vertebrae 6 and 7.
- 8 The illustrated spacer member or cage 2 has a partial
- 9 convex cylindrical shaped upper and lower (superior and
- 10 inferior) surfaces 9 and 10 and concave cylindrical lateral
- 11 surfaces 12. Front and rear (anterior and posterior)
- 12 surfaces 14 and 15 are generally planar or flat. The upper
- 13 and lower surfaces 9 and 10 are formed by a helical wound
- 14 thread 17 which extend along the top and bottom of the
- 15 spacer member 2. The upper and lower surfaces 9 and 10 are
- 16 crests of the threads 17 which are constant in radius with
- 17 spaces therebetween for each turn of the thread. Roots 19
- 18 associated with each full turn of the thread 17 have radii
- 19 which diminish conically from front to rear within a conical
- 20 region 21 and near the axial center of the spacer member 2
- 21 become constant throughout a rearwardly located partially
- 22 cylindrical shaped region 23 that has the thread 17
- 23 extending outwardly between portions of the region 23

- 1 defined by the roots 19. The cylindrical region 23 begins
- 2 at the end of the conical region 21 with the shortest
- 3 radius, thereby giving the roots 19 a generally "funnel"
- 4 shaped profile, or side view, as illustrated in Fig. 2 and
- 5 by comparison of Figs. 3 and 4. The overall funnel shaped
- 6 surface is defined by the region covered by the thread roots
- 7 19 and has discontinuous turns spaced by the thread 17 and
- 8 the lateral surfaces 12.
- 9 Side areas 25 adjacent the thread roots 19 are
- 10 flattened or relieved from the concave cylindrical shape of
- 11 the lateral surfaces 12 to thereby increase the volume of
- 12 space between the vertebrae 6 and 7 to receive material
- 13 promoting fusion of the vertebrae. The flattened side areas
- 14 25 illustrated are approximately tangent to the lateral
- 15 surfaces 12 of the spacer member 2. Although not shown, it
- 16 is foreseen that the spacer member 2 could be provided with
- 17 additional openings, such as through and joining the lateral
- 18 surfaces 12, to provide additional volume between the
- 19 vertebrae 6 and 7 for receiving bone fusion promoting
- 20 material.
- 21 Referring to Figs. 1 and 13-16, the end cap 3 includes
- 22 a center section 30 and wing sections 32 extending laterally
- 23 of the center section 30 and curving in a posterior

- 1 direction therefrom. The front of the end cap 3 is
- 2 preferably sized, shaped and designed to follow the contour
- 3 of the front or anterior edge of the vertebrae 6 and 7. The
- 4 end cap 3 includes structure for securing it to the spacer
- 5 member 2. The illustrated end cap 3 includes a pair of
- 6 opposed resilient pawls 34 extending from a posterior
- 7 surface 36 (Fig. 13) of the end cap 3 at the center section
- 8 30. The pawls 34 are positioned to engage recesses 38
- 9 (Figs. 1 and 14) formed into the lateral surfaces 12 of the
- 10 spacer member 2 by deforming as the end cap is slid over the
- 11 anterior end of the spacer member (see Fig. 14) and then
- 12 resiliently returning to a gripping shape (as seen in Fig.
- 13 15) to hold the end cap 3 on the spacer number 2.
- 14 Alternatively, other structure or means for securing the end
- 15 cap 3 to the spacer member 2 may be employed in the assembly
- 16 1.
- The illustrated wing sections 32 taper as they extend
- 18 from the center section 30 and curve backward or in a
- 19 posterior direction relative to the spine, such that the
- 20 posterior surface 36 is concave and an opposite anterior
- 21 surface 40 (Fig. 13) of the end cap 3 is convex. The
- 22 curvature of the wing sections 32 is intended to conform to
- 23 the curvature of an outer region 42 (Figs. 13 and 14) of the

- 1 vertebrae 6 and 7. The tapered shape of wing sections 32 is
- 2 intended to generally conform to outer regions of the
- 3 vertebrae 6 and 7 when they are in the desired degree of
- 4 lordosis or angular relation, so that an upper and lower
- 5 surface 43 engages the strongest and hardest portion of the
- 6 anterior end plate of each vertebrae 6 and 7. The outer
- 7 regions 42 of the vertebrae 6 and 7 surround inner regions
- 8 44 thereof. The wing sections 32 preferably include
- 9 apertures 46 formed therethrough to provide for the
- 10 implanting of spinal fusion promoting material between the
- 11 vertebrae 6 and 7 after the assembly 1 is implanted.
- 12 The cage assembly 1 is preferably formed of a strong,
- 13 light weight material which either does not react at all
- 14 with the tissues and chemicals within its implanted
- 15 environment or which does react therewith only in a
- 16 beneficial manner. The materials may include various
- 17 metallic alloys, such as stainless steels, titanium alloys,
- 18 or tantalum alloys or synthetic materials or composites,
- 19 such as resins, polymers, or carbon fiber reinforced
- 20 polymers. It is also foreseen that the assembly 1 can be
- 21 formed of a material which will be replaced by the body,
- 22 over time, by boney tissue. Biological implants of this
- 23 type may be constructed of bone or bone based material or

- 1 certain bio-active resins. The spacer member 2 and end cap
- 2 3 may be manufactured using any of a number of known
- 3 processes, such as casting or molding, machining, sintering,
- 4 or combinations of such processes.
- Figs. 5-8 illustrate stages in the preparation of
- 6 vertically adjacent vertebrae 6 and 7 for implanting the
- 7 center line cage assembly 1 therebetween. Fig. 5 is a
- 8 simplified view of the two adjacent vertebrae 6 and 7
- 9 separated by an intervertebral disc 50, with ligaments and
- 10 other structures omitted for simplicity. When the disc 50
- 11 is malformed, injured, diseased, mispositioned by age or
- 12 injury, or the like and does not respond to less radical
- 13 treatments, it is sometimes necessary and/or beneficial to
- 14 remove the disc 50, by a laminectomy procedure, and to
- 15 replace the disc 50 by spacer structure which maintains the
- 16 mutual spacing and angular orientation of the vertebrae 6
- 17 and 7 in a normal configuration or even produces an improved
- 18 alignment so as to help correct spinal curvature problems.
- 19 Often, such spacer structure is used in conjunction with
- 20 techniques to fuse the vertebrae 6 and 7 into a permanently
- 21 fixed relationship.
- Fig. 6 illustrates the vertebrae 6 and 7 subsequent to
- 23 the laminectomy and with a pair of vertebrae spreading tools

- 1 52 of a scissors type inserted between the vertebrae 6 and
- 2 7. Fig. 7 shows the vertebrae 6 and 7 spread apart a
- 3 desired distance, using the tools 52, and upper and lower
- 4 radiused channels 54 which have been cut partially into
- 5 respective mutually facing surfaces 55 and 56 of the
- 6 vertebrae 6 and 7 to receive the partly screw in spacer
- 7 member 2. Fig. 8 shows the vertebra 7 with the partial
- 8 cylindrical channels 54, and also illustrates the
- 9 positioning of the tools 52 during the implantation
- 10 procedure.
- 11 Figs. 9-12 illustrate stages in the implantation of the
- 12 spacer member 2 between the vertebrae 6 and 7, using a
- 13 spacer implanting tool 60. The tool 60 has an inner rod 62
- 14 terminating in a threaded distal (to the surgeon) end 64 and
- 15 a knob 66 at an opposite proximal end. The rod 62 is
- 16 positioned coaxially within an outer tube 68 by a plurality
- of axially spaced bushings 70 (Fig. 10). The tube 68 has a
- 18 pair of diametrically spaced paddles 72 at a distal end and
- 19 a pair of transversely extending handles 74 at an opposite
- 20 proximal end. The paddles 72 have external threads 76 which
- 21 have the same radius and are compatible with the threads 17
- of the spacer member 2. Additionally, the paddles 72 have
- 23 inner convex surfaces 78 which are cylindrical with the same

- 1 cylindrical radius as the concave lateral surfaces 12 of the
- 2 spacer member 2.
- 3 The spacer implanting tool 60 is used to implant the
- 4 spacer member 2 between the vertebrae 6 and 7 within the
- 5 center line channels 54 which have been previously cut into
- 6 the vertebrae 6 and 7, while at a desired spacing. The tool
- 7 60 is engaged with the spacer member 2 with the paddles 72
- 8 on opposite sides, such that the inner cylindrical surfaces
- 9 78 snugly engage the lateral cylindrical surfaces 12 of the
- 10 spacer member 2. The paddle threads 76 are formed in such a
- 11 manner that when the paddles 72 are properly positioned
- 12 axially with respect to the spacer member 2, the paddle
- 13 threads 76 form a continuous helical thread with the threads
- 14 17 on the upper and lower surfaces 9 and 10 of the spacer
- member 2. With the paddles 72 thus positioned relative to
- 16 the spacer member 2, the threaded end 64 of the rod 62 is
- 17 threaded into a threaded bore or socket 80 (Fig. 1) formed
- 18 into the front surface 14 of the spacer member 2 and
- 19 tightened using the knob 66.
- When the tool 60 has been secured to the spacer member
- 21 2, the spacer member 2 is threaded or screwed into the
- 22 spaced vertebral channels 54. As the spacer member 2 and
- 23 paddles 72 are threaded between the vertebrae 6 and 7, the

- 1 threads 17 and 76 tap a thread into the channels 54.
- 2 Threading continues until the spacer member 2 is properly
- 3 positioned relative to the vertebrae 6 and 7 to engage the
- 4 inner or central regions 44 thereof. Rotation of the spacer
- 5 member 2 is stopped when in an upright orientations (Figs.
- 6 10 and 11) so that the upper and lower surfaces 9 and 10
- 7 thereof respectively engage the upper and lower vertebrae 6
- 8 and 7.
- 9 To remove the spacer implanting tool 60 from the spacer
- 10 member 2, once it is implanted in a desired position and
- 11 orientation, the outer tube 68 is translated in a proximal
- 12 direction relative to the inner rod 62, leaving only a
- 13 portion of the paddles 72 engaging the lateral surfaces 12
- of the spacer member 2 (Fig. 12). The tube 68 is then held,
- using the handles 74, while the rod 62 is rotated, using the
- 16 knob 66, to unthread the end 64 thereof from the bore 80 in
- 17 the front end 14 of the spacer member 2. Afterwards, the
- 18 paddles 72 are fully withdrawn from the lateral surfaces or
- 19 sides 12 of the spacer member 2.
- Figs. 13-15 illustrate stages in the connection of the
- 21 end cap 3 to the previously implanted spacer member 2. Fig.
- 22 13 illustrates an exemplary end cap implanting tool 85 which
- 23 may be used for this purpose. The tool 85 has a shaft 86

- 1 with a pair of handles 87 at a proximal end and a threaded
- 2 distal end 88 joined to the shaft 86 at a shoulder 89. The
- 3 threaded end is sized to fit into the threaded bore 80 of
- 4 the spacer member 2. The threaded end 88 is inserted
- 5 through a threaded bore 92 formed through the center section
- 6 30 of the end cap 3 and threadedly engaged with the threaded
- 7 bore 80 in the spacer member 2. The shaft 86 is rotated,
- 8 using the handles 87, to thread the end 88 further into the
- 9 bore 80, thereby urging the shoulder 89 against the anterior
- 10 surface 40 of the center section 30. By this means, the
- 11 pawls 34 are urged past the front surface 14 of the spacer
- 12 member 2 and into the pawl receiving recesses or grooves 38
- 13 formed into the lateral surfaces 12 of the spacer member 2.
- 14 When that occurs, the center section 30 and wing sections 32
- 15 of the end cap 3 are generally aligned with the outer
- 16 regions 42 of the vertebrae 6 and 7, for engagement thereby.
- 17 The center section 30 preferably has upper and lower edge
- 18 surfaces 94 which are cylindrical in shape and of the same
- 19 diameter as the center line channels 54 for close engagement
- 20 and support of the center section with the vertebrae 6 and 7
- 21 at the outer regions 42 (see Fig. 16) at the channels 54.
- 22 Although the end cap 3 will typically be permanently
- 23 left attached to the spacer member 2, under some

- 1 circumstances, it may be necessary to detach the end cap 3
- 2 therefrom. Fig. 17 illustrates an end cap removal tool 96
- 3 which may be used for such a purpose. The tool 96 has a
- 4 shaft 97 terminating in a threaded distal end 98 with an
- 5 abutment surface 99 at an ultimate end. The threaded end 98
- 6 is sized and threaded to fit into the threaded bore 92 in
- 7 the center section 30 of the end cap 3 and is too large to
- 8 fit into the threaded bore 80 of the spacer member 2. When
- 9 it is necessary to detach an end cap 3 from an implanted
- 10 spacer member 2, the threaded end 98 is threaded into the
- 11 bore 92 until the abutment surface 99 engages the front
- 12 surface 14 of the spacer member 2. Rotation of the shaft 97
- 13 continues, using a handle (not shown) thereon, to urge the
- 14 end cap 3 anteriorly away from the spacer member 2, thereby
- 15 deforming and retracting the pawls 34 from the recesses 38
- 16 in the side surfaces 12 of the spacer member 2. Rotation
- 17 may be continued until the pawls 34 clear past the front
- 18 surface 14 of the spacer member 2 and the end cap 3 is then
- 19 pulled from the spacer member 2.
- The cage assembly 1 of the present invention enables
- 21 the use of a single spacer member or cage 2 positioned along
- 22 a "center line" of the vertebrae 6 and 7, that is, within a
- 23 median plane 102 (Fig. 13) of the body incorporating the

- 1 vertebrae 6 and 7. The spacer member 2 engages inner
- 2 regions 44 of the mutually facing vertebral surfaces 55 and
- 3 56 of the vertebrae 6 and 7. The end cap 3 engages outer
- 4 regions 42 of the vertebrae 6 and 7 and, thereby, cooperates
- 5 with the spacer member 2 to provide lateral stability to the
- 6 vertebrae 6 and 7 with the spacer member 2 implanted
- 7 therebetween and located on the center line 102.
- 8 Additionally, the funnel shaped profile of the roots 19 of
- 9 the thread 17 of the spacer member 2 promotes a favorable
- 10 angular or lordotic relationship between the vertebrae 6 and
- 11 7 (Fig. 11).
- 12 It is to be understood that while certain forms of the
- 13 present invention have been illustrated and described
- 14 herein, it is not to be limited to the specific forms or
- 15 arrangement of parts described and shown.